

Policy for Voluntary Freezing/ Blocking

Version 1.0

Glossary

- **Registered Mobile Number:** Means the mobile number which has been registered against the clients trading account.
- **Registered Email ID:** Means the email id which has been registered against the clients trading account.
- **Tradejini Team:** Means any employee of the Tradejini Financial Services Private Limited who is in employment.

Introduction

M/s. Tradejini Financial Services Private Limited is registered with BSE, NSE & MCX as a trading member and with CDSL as Depository Participants and trades on the Exchange only through Exchange Terminals and In-house software. In an effort to safeguard the investors from suspicious activities and as per the SEBI directives issued through its circular ref. No. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024 the policy for **Voluntary Freezing/ Blocking Facility** has been prepared which is subjected to any modification, replacement and adjustments on timely basis as deemed fit by the Compliance officer of Tradejini Financial Services Private Limited.

Framework of the Policy

The framework of the policy requires Trading Members to provide their clients with a facility to voluntarily freeze/block online access to their trading accounts. Accordingly following procedure has been formulated for the voluntary freezing/blocking of trading accounts:

1. Mode of Request for Freezing/Blocking the Trading Account Clients can choose from the following options to freeze/block their trading account:

Option A: Clients can send an email to stoptrade@tradejini.com from their registered email ID. Upon receipt of the email, the Tradejini team will call the client on their registered mobile number to confirm the request. The client must accept the call and complete the necessary confirmation steps.

Option B: Clients can login into our app CUBE > LOGIN > PROFILE > FREEZE
CUBEPLUS > LOGIN > ACCOUNT > FREEZE

Note: Any of the above options can be used to freeze/block the trading account. Once the account is frozen/blocked, all online access will be unavailable.

2. Procedure to Unfreeze/Unblock the Account

Clients wishing to unfreeze/unblock their account must send an email to stoptrade@tradejini.com from their registered email ID. Upon receipt of the email, the Tradejini team will contact the client on their registered mobile number to confirm the request. The account will be unfrozen only after the client confirms the request over the call.

3. Timelines for Freezing/Blocking the Trading Account:

Upon receipt of any request from clients for freezing/blocking of the trading accounts the Tradejini team shall contact the client on their registered mobile number to confirm the request and post confirmation the freezing/blocking of trading account shall be done on the same day.

Implication of the Policy

The policy for Voluntary Freezing/ Blocking Facility shall come into force with effect from **July 01, 2024**.
